01-15-04

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 30859-DIV1

U.S. PATENT & TRADEMARK OFFICE JC105 JAN 14 2004

In Re Application Of:

WARD, Philip T.

Serial No.	Filing Date	Examiner	Group Art Unit
10/677,793	10/2/2003		

Title: **Method of Forming Concrete Structures Using Panels Having Flexible Barriers**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

   ☐ the statement specified in 37 CFR 1.97(e);

   OR

   ☐ the fee set forth in 37 CFR 1.17(p).

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OIPE SC105 JAN 16 2004 PATENT & TRADEMARK OFFICE

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10/677,793	10/2/2003		

Method of Forming Concrete Structures Using Panels Having Flexible Barriers

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

☐ A check in the amount of is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-0522 as described below.

- ☐ Charge the amount of
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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Signature

Dated: 1/13/04

cc:

FORM PTO-1449 (Rev. 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.
	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT	30859-DIV1	10/677,793
	(Use several sheets if necessary)	APPLICANT: WARD, Philip T.	
		FILING DATE: 10/2/2003	GROUP:

OIPE JC105 JAN 14 2004 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4 4 0 5 1 1 2	9/1983	Doubleday et al.			
		4 7 0 8 3 1 5	11/1987	Carlson et al.			
		4 7 4 4 5 4 1	5/1988	Carlson et al.			
		4 9 5 7 2 7 2	9/1990	Lee, Yuan-Ho			
		4 9 5 8 8 0 0	9/1990	Carlson			
		4 9 7 6 4 0 1	12//1990	Carlson			
		4 9 7 8 0 9 9	12/1990	Carlson			
		5 0 4 4 6 0 1	09/1991	Miller, Michael J.			
		5 0 5 8 8 5 5	10/1991	Ward			
		5 0 8 0 3 2 1	11/1992	Carlson			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Western Forms, Inc. Catalog titled "World's Leading Innovator in Aluminum Forming Systems" copyright 1999, all pages
			Article titled "Good forming details: a critical element in architectural concrete" from "Concrete Construction" Magazine, November 1972.
			Hard copies of screens from the following Felton Brush Web site addresses: http://www.feltonauto.com/currentapps.htm
			Hard copies of screens from the following Felton Brush Web site addresses: http://www.feltonauto.com/current_cups.htm
			Hard copies of screens from the following Felton Brush Web site addresses: http://www.feltonauto.com/current_prndl.htm

EXAMINER: Initial if citation considered, whether not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Rev. 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 30859-DIV1	SERIAL NO. 10/677,793
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT: WARD, Philip T.	
		FILING DATE: 10/2/2003	GROUP:

OIPE JC105 JAN 14 2004 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5 0 8 3 7 4 0	1/1992	Sawyer, et al.			
		5 1 6 0 6 4 0	11/1992	Bedstieber			
		5 1 7 4 9 0 9	12/1992	Ward			
		5 1 8 4 4 3 9	2//1993	Ward			
		5 2 8 8 0 5 1	2/1994	Ward			
		5 6 7 1 4 8 8	9/1997	Greferath			
		5 7 9 2 5 5 2	8/1998	Langkamp et al.			
		5 8 3 6 1 2 6	11/98	Harkenrider, et al.			
		5 9 6 5 0 5 3	12/1999	Carlson			
		5 9 7 5 4 8 2	11/99	Wu et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Brochure:: The World's Leading Innovator in Aluminum Forming Systems (Western Forms, Inc. 1993) (6 pages printed on front and back including cover)
			Brochure: A New Generation in Aluminum Forming Systems (Western Forms, Inc. 1988) (6 pages printed on front and back including cover)
			Brochure: Concrete Forming Accessories, 2nd ed. (Western Forms, Inc. [before 1999]) (49 printed pages not including front/back cover, divider tabs and printing on inside of covers)

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U.S. PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5 9 7 9 1 3 8	11/1999	Allen			
		6 4 0 0 0 4 8	6/2002	Nishimura et al.			
		6 4 1 9 2 0 4	7/2002	Flathau, Robert J.			
		6 5 0 2 8 0 2	1/2003	Jorn et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		6 2 8 8 0 8 6	10/1994	JP			YES	NO
		5 8 6 6 7 1	04/1993	JP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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